

Approximation of an Entire Function

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1. INTRODUCTION

Let $f(x)$ be a real-valued continuous function defined on $[-1, 1]$, and let

$$E_n(f) \equiv \inf_{p \in \pi_n} \|f - p\|_{L^\infty[-1,1]}, \quad n = 0, 1, 2, \dots, \quad (1)$$

be the minimum error in the Chebyshev approximation of $f(x)$ over the set π_n of real polynomials of degree at most n . Bernstein ([1], p. 118) proved that

$$\lim_{n \rightarrow \infty} E_n^{1/n}(f) = 0 \quad (2)$$

if, and only if, $f(x)$ is the restriction to $[-1, 1]$ of an entire function.

Let $f(z)$ be an entire function, and let

$$M(r) \equiv M_f(r) = \max_{|z|=r} |f(z)|;$$

then the order ρ and lower order λ of $f(z)$ are defined by ([2], p. 8)

$$\limsup_{r \rightarrow \infty} \frac{\log \log M(r)}{\log r} = \rho \quad (0 \leq \lambda \leq \rho \leq \infty). \quad (3)$$

Now, for $f(z)$ entire, (2) does not give any clue as to the rate at which $E_n^{1/n}(f)$ tends to zero. Recently, Varga ([9], Theorem 1) has shown that this rate depends on the order of $f(z)$. In fact, he has proved that

$$\limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/E_n(f)]} = \rho, \quad (4)$$

where ρ is a nonnegative real number if, and only if, $f(x)$ is the restriction to $[-1, 1]$ of an entire function of order ρ .

However, if $f(z)$ is an entire function of infinite order, then (4) fails to give satisfactory information about the rate of decrease of $E_n^{1/n}(f)$. Reddy ([7], Theorem 1), making use of the concept of "index" of an entire function earlier introduced by Sato ([8], p. 412) extended the above result to functions of infinite order. Thus, if

$$\rho(q) = \limsup_{r \rightarrow \infty} \frac{\log^{[q]} M(r)}{\log r}, \quad q \geq 2 \quad (5)$$

where $\log^{[0]} M(r) = M(r)$ and $\log^{[q]} M(r) = \log(\log^{[q-1]} M(r))$, then $f(z)$ is said to be of index q if $\rho(q - 1) = \infty$ while $\rho(q) < \infty$. If $f(z)$ is of index q we shall call $\rho(q)$ the q -order of $f(z)$. Analogous to lower order, the concept of lower q -order can be introduced. Thus $f(z)$, an entire function of index q , is said to be of lower q -order $\lambda(q)$ if

$$\lambda(q) = \liminf_{r \rightarrow \infty} \frac{\log^{[q]} M(r)}{\log r}, \quad q \geq 2. \tag{6}$$

Reddy ([7], Theorem 1) has extended (4) to functions of infinite order by showing that

$$\limsup_{n \rightarrow \infty} \frac{n \log^{[q-1]} n}{\log[1/E_n(f)]} = \sigma \tag{7}$$

satisfies $0 < \sigma < \infty$ if, and only if, $f(x)$ is the restriction to $[-1, 1]$ of an entire function of index q , with $\rho(q) = \sigma$.

However, the result corresponding to (7) does not always hold for the lower q -order. In fact, Reddy ([7], Theorems 2A and 2B) has further shown that if $f(x)$ is the restriction to $[-1, 1]$ of an entire function of index q , then its lower q -order $\lambda(q)$ satisfies

$$\liminf_{n \rightarrow \infty} \frac{n \log^{[q-1]} n}{\log[1/E_n(f)]} \leq \lambda(q) \tag{8}$$

and that the reverse inequality (and hence equality) holds in (8) if $E_{n-1}(f)/E_n(f)$ is a nondecreasing function of n for $n > n_0$. (9)

In the present paper, we obtain a result corresponding to (7) for the lower q -order $\lambda(q)$ which holds without the condition (9). We also give one more relation which depicts the influence of $\lambda(q)$ on the rate of decrease of $E_n(f)$.

2. MAIN RESULT

We first prove

THEOREM 1. *Let $f(x)$ be a real-valued continuous function which is the restriction to $[-1, 1]$ of an entire function $f(z)$ of index $q (\geq 2)$. Then, $f(z)$ is of lower q -order $\lambda(q)$ if, and only if,*

$$\lambda(q) = \max_{\{n_h\}} \liminf_{h \rightarrow \infty} \frac{n_h \log^{[q-1]} n_{h-1}}{\log[1/E_{n_h}(f)]}, \tag{10}$$

where maximum is taken over all increasing sequences $\{n_h\}$ of natural numbers.

We require a few lemmas.

LEMMA 1. Let $f(z) = \sum_{n=0}^{\infty} a_n z^n$ be an entire function of index $q (\geq 2)$ and lower q -order $\lambda(q)$ and let $\nu(r)$ denote the rank of the maximum term $\mu(r)$ for $|z| = r$, i.e., $\mu(r) = \max_{n \geq 0} \{ |a_n| r^n \}$ and $\nu(r) = \max \{ n \mid \mu(r) = |a_n| r^n \}$. Then

$$\lambda(q) = \liminf_{r \rightarrow \infty} \frac{\log^{[q-1]} \nu(r)}{\log r} = \liminf_{r \rightarrow \infty} \frac{\log^{[q]} \mu(r)}{\log r}. \tag{11}$$

The lemma follows easily on the same lines as those of Whittaker ([10], Theorem 1) for $q = 2$, so we omit the proof.

LEMMA 2. Let $f(z) = \sum_{n=0}^{\infty} a_n z^n$ be an entire function of index $q (\geq 2)$ and lower q -order $\lambda(q)$ and let $\{n_k\}$ denote the range of the step function $\nu(r)$, then

$$\lambda(q) = \liminf_{k \rightarrow \infty} \frac{\log^{[q-1]} n_k}{\log \rho(n_{k+1})} \tag{12}$$

where the $\rho(n_k)$ terms denote the jump points of $\nu(r)$.

Proof. It follows, from given data, that

$$\nu(r) = n_k \quad \text{when} \quad \rho(n_k) \leq r < \rho(n_{k+1})$$

and that

$$\rho(n_k) < \rho(n_k + 1) = \dots = \rho(n_{k+1}).$$

Furthermore, if $n_k < n \leq n_{k+1}$, then $\rho(n) = \rho(n_{k+1})$ and so (11) gives

$$\begin{aligned} \lambda(q) &= \liminf_{n \rightarrow \infty} \frac{\log^{[q-1]} n}{\log \rho(n)} \geq \liminf_{k \rightarrow \infty} \frac{\log^{[q-1]}(n_k + 1)}{\log \rho(n_{k+1})} \\ &= \liminf_{k \rightarrow \infty} \frac{\log^{[q-1]}(n_k + 1)}{\log \rho(n_k + 1)} \geq \lambda(q), \end{aligned}$$

which gives (12).

Remark. For $q = 2$, relation (12) is due to Gray and Shah ([3], Lemma 1).

LEMMA 3. Let $f(z) = \sum_{k=0}^{\infty} a_k z^{n_k}$ be an entire function of index $q (\geq 2)$ and lower q -order $\lambda(q)$ such that $\psi(k) \equiv |a_k/a_{k+1}|^{1/(n_{k+1}-n_k)}$ forms an increasing function of k for $k > k_0$; then

$$\lambda(q) = \liminf_{k \rightarrow \infty} \frac{(n_{k+1} - n_k) \log^{[q-1]} n_k}{\log |a_k/a_{k+1}|}. \tag{13}$$

Proof. For $q = 2$, this result is due to Juneja and Kapoor [5]. We note that since $\psi(k)$ forms an increasing function of k for $k > k_0$, we have

$$\nu(r) = n_k \quad \text{for} \quad \psi(k - 1) \leq r < \psi(k),$$

so that, for sufficiently large k , $\rho(n_k) = \psi(k - 1)$, $\rho(n_{k+1}) = \psi(k)$. Substituting the value of $\rho(n_{k+1})$ in (12) we get (13).

LEMMA 4. Let $\{n_k\}$ be an increasing sequence of positive integers and let $\{a_n\}$ be a sequence of complex numbers such that $|a_{n_k}| < 1$ for $k > k_0$; then for $q \geq 2$,

$$\liminf_{k \rightarrow \infty} \frac{n_k \log^{[q-1]} n_{k-1}}{\log |a_{n_k}|^{-1}} \geq \liminf_{k \rightarrow \infty} \frac{(n_k - n_{k-1}) \log^{[q-1]} n_{k-1}}{\log |a_{n_{k-1}}/a_{n_k}|}. \tag{14}$$

The lemma follows exactly along the same lines as those of Juneja ([4], Lemma 2) for $q = 2$, so we omit the proof.

Proof of Theorem 1. First, suppose that $f(x)$ has an analytic extension $f(z)$ which is an entire function of index q and lower q -order $\lambda(q)$. Following Bernstein's original proof ([6], p. 76) it follows that for each $n \geq 0$

$$E_n(f) \leq \frac{2B(r)}{r^n(r-1)} \quad \text{for any } r > 1 \tag{15}$$

where $B(r) = \max_{z \in \mathcal{C}_r} |f(z)|$, and \mathcal{C}_r with $r > 1$ denotes the closed interior of the ellipse with foci ± 1 , with half-major axis $(r^2 + 1)/2r$ and half-minor axis $(r^2 - 1)/2r$. The closed disks $D_1(r)$ and $D_2(r)$ bound the ellipse \mathcal{C}_r in the sense that

$$D_1(r) \equiv \left\{ z \mid |z| \leq \frac{r^2 - 1}{2r} \right\} \subset \mathcal{C}_r \subset D_2(r) \equiv \left\{ z \mid |z| \leq \frac{r^2 + 1}{2r} \right\}.$$

From this inclusion, it follows that

$$M \left(\frac{r^2 - 1}{2r} \right) \leq B(r) \leq M \left(\frac{r^2 + 1}{2r} \right) \quad \text{for all } r > 1. \tag{16}$$

Consequently, (15) and (16) give for any sequence $\{n_h\}$ of positive integers that

$$M \left(\frac{r^2 + 1}{2r} \right) \geq E_{n_h}(f) r^{n_h} \quad \text{for any } r > 3 \text{ and } h = 1, 2, \dots \tag{17}$$

Now, let

$$\liminf_{h \rightarrow \infty} \frac{n_h \log^{[q-1]} n_{h-1}}{\log(1/E_{n_h}(f))} = \alpha(\{n_h\}) \equiv \alpha.$$

Since $f(z)$ is an entire function, (2) gives $0 \leq \alpha \leq \infty$. First, let $0 < \alpha < \infty$; then for $\alpha > \epsilon > 0$,

$$E_{n_h}(f) > [\log^{[q-2]} n_{h-1}]^{-n_h/(\alpha-\epsilon)} \quad \text{for } h > h_0 = h_0(\epsilon).$$

Let $r_h = e(\log^{[q-2]} n_{h-1})^{1/(\alpha-\epsilon)}$ for $h = 1, 2, 3, \dots$. If $r_h \leq r \leq r_{h+1}$, $h > h_0$, then (17) gives

$$\begin{aligned} \log M\left(\frac{r^2 + 1}{2r}\right) &\geq \log E_{n_h}(f) + n_h \log r \\ &\geq \log E_{n_h}(f) + n_h \log r_h \\ &> n_h \\ &= \exp^{[q-2]} \left(\frac{r_{h+1}}{e}\right)^{\alpha-\epsilon}. \end{aligned}$$

So,

$$\begin{aligned} \log^{[q]} M\left(\frac{r^2 + 1}{2r}\right) &> (\alpha - \epsilon) \log r_{h+1} - (\alpha - \epsilon) \\ &\geq (\alpha - \epsilon) \log r - (\alpha - \epsilon) \end{aligned}$$

or

$$\lambda(q) \equiv \liminf_{r \rightarrow \infty} \frac{\log^{[q]} M(r)}{\log r} \geq \alpha$$

which obviously holds when $\alpha = 0$. Since this inequality holds for every increasing sequence $\{n_h\}$ of positive integers, we have

$$\lambda(q) \geq \max_{\{n_h\}} \alpha(\{n_h\}) = \beta, \quad \text{for instance.} \tag{18}$$

Now, for each $n \geq 0$, there exists a unique $p_n(x) \in \pi_n$ such that

$$\|f - p_n\|_{L^\infty[-1,1]} = E_n(f), \quad n = 0, 1, 2, \dots$$

Further, since $\|p_{n+1} - p_n\|_{L^\infty[-1,1]}$ is bounded above by $2E_n(f)$, we have by [6], p. 42:

$$|p_{n+1}(z) - p_n(z)| \leq 2E_n(f) r^{n+1} \text{ for all } z \in \mathcal{C}_r \text{ for any } r > 1. \tag{19}$$

Thus, we can write

$$f(z) = p_0(z) + \sum_{k=0}^{\infty} (p_{k+1}(z) - p_k(z)),$$

and this series converges uniformly in any bounded domain of the complex plane. So, (19) gives

$$|f(z)| \leq |p_0(z)| + 2 \sum_{k=0}^{\infty} E_k(f) r^{k+1} \quad \text{for any } z \in \mathcal{C}_r$$

and consequently, from the definition of $B(r)$

$$B(r) \leq A_0 + 2 \sum_{k=0}^{\infty} E_k(f) r^{k+1}.$$

So, (16) gives

$$M \left(\frac{r^2 - 1}{2r} \right) \leq A_0 + 2 \sum_{k=0}^{\infty} E_k(f) r^{k+1}. \quad (20)$$

Obviously, the function $g(z) = \sum_{k=0}^{\infty} E_k(f) z^{k+1}$ is an entire function. Let $\{n_k\}$ denote the range of $\nu(r)$ for this function. Consider the function $h(z) = \sum_{k=0}^{\infty} E_{n_k}(f) z^{n_k}$. It is easily seen that $h(z)$ is also an entire function and that $g(z)$ and $h(z)$ have the same maximum term for every z . It follows, from Lemma 1, that both have the same lower q -order. If we denote this by $\lambda_0(q)$, then since $h(z)$ satisfies the hypotheses of Lemma 3, we have

$$\begin{aligned} \lambda_0(q) &= \liminf_{k \rightarrow \infty} \frac{(n_k - n_{k-1}) \log^{[q-1]} n_{k-1}}{\log(E_{n_{k-1}}(f)/E_{n_k}(f))} \\ &\leq \liminf_{k \rightarrow \infty} \frac{n_k \log^{[q-1]} n_{k-1}}{\log(1/E_{n_k}(f))}, \quad \text{by Lemma 4} \\ &\leq \max_{\{n_h\}} \liminf_{h \rightarrow \infty} \frac{n_h \log^{[q-1]} n_{h-1}}{\log(1/E_{n_h}(f))} = \beta. \end{aligned} \quad (21)$$

Thus (20) and (21) give

$$\begin{aligned} M \left(\frac{r^2 - 1}{2r} \right) &\leq A_0 + 2g(r) \\ &< 0(1) + 2 \exp^{[q-1]}(r^{\beta+\epsilon}) \quad \text{for a sequence } r_1, r_2, \dots \rightarrow \infty. \end{aligned}$$

Hence, it follows that

$$\lambda(q) \leq \beta, \quad (22)$$

which shows that the lower q -order of $f(z)$ does not exceed β . Thus, if $f(z)$ is of lower q -order $\lambda(q)$, then (18) shows that $\beta \leq \lambda(q)$. If $\beta < \lambda(q)$, then the above arguments show that $f(z)$ would be of lower k -order less than β , a contradiction. Thus, we must have $\beta = \lambda(q)$. This completes the proof of the theorem.

Using Lemmas 3 and 4 and arguing as above the following theorem can also be proved easily.

THEOREM 2. *Let $f(x)$ be a real-valued continuous function which is the restriction to $[-1, 1]$ of an entire function $f(z)$ of index q . Then, $f(z)$ is of lower q -order $\lambda(q)$ if, and only if,*

$$\lambda(q) = \max_{\{n_h\}} \liminf_{h \rightarrow \infty} \frac{(n_h - n_{h-1}) \log^{[q-1]} n_{h-1}}{\log(E_{n_{h-1}}(f)/E_{n_h}(f))}, \quad (23)$$

where maximum is taken over all increasing sequences $\{n_h\}$ of natural numbers.

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